

# E-Matching and the Hypertableau Rule in the Theorem Prover Princess

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- The theorem prover Princess
- E-Matching
- Hypertableaux
  
- How to simulate e-matching using hypertableaux

# 1. The Princess theorem prover

Prover for first-order logic with linear integer arithmetic:

- Tailored to program verification
- Complete for first-order logic, Presburger arithmetic, etc.
  
- Classical sequent calculus, non-clausal
- No uninterpreted functions → relational encoding
- Free variables + unification + constraints

More information, implementation, paper:

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## 2. E-Matching

Standard quantifier handling in SMT solvers:

- Matching of “triggers” (modulo equations):

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\forall int a, i, v;  
  select(store(a, i, v), i) = v
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\forall int a, i1, i2, v;  
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# Comparison

E-Matching

Free variables + unification

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Heuristic → incomplete

Systematic

Good for “simple” instances

Can find “difficult” instances

Quite cheap

Quite expensive

→ Very nondeterministic



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⇒ Combination?

### 3. Hypertableaux (aka “Model Generation”)

Derive models of clause sets by fixed-point iteration:

- Clauses without negative literals:
  - ⇒ Instantiate with free variables
- Clauses with negative literals:
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#### Completeness (Conjecture)

If  $\Gamma \vdash \Delta$  is provable in the ordinary Princess calculus, then it is also provable with the Hypertableau rule.

# Relational encoding of functions

$n$ -ary function  $f$  becomes  $(n + 1)$ -ary predicate  $f_p$ :

- Axioms: Totality + Functionality

$$\forall \bar{x}. \exists y. f_p(\bar{x}, y)$$

$$\forall \bar{x}, y_1, y_2. (f_p(\bar{x}, y_1) \rightarrow f_p(\bar{x}, y_2) \rightarrow y_1 \doteq y_2)$$

- Two equivalent ways to encode function applications:

$$\phi[f(\bar{t})] \rightsquigarrow \forall y. (f_p(\bar{t}, y) \rightarrow \phi[y]) \quad (\text{negative})$$

$$\rightsquigarrow \exists y. (f_p(\bar{t}, y) \wedge \phi[y]) \quad (\text{positive})$$

- All function applications become literals

# E-Matching using the Hypertableau rule

$$\forall \bar{x}. \phi[t[\bar{x}]]$$



negative function  
encoding for  $t[\bar{x}]$



positive encoding  
for other  
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- E-Matching (almost) like in SMT-solvers
- But: Choice of triggers has no effect on completeness!

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Example:

$$\forall x. f(x) \geq 0$$



$$\forall x, y. (f_p(x, y) \rightarrow y \geq 0)$$



$$\forall x. \exists y. (f_p(x, y) \wedge y \geq 0)$$

- Relational function encoding + hypertableau = E-Matching
- Implementation in progress . . .
- E-Matching made respectable?

Future work, open questions:

- Formal completeness proof for Princess hypertableau rule
- When to use e-matching, when to use free variables?
- Relational encoding vs. native functions
- Partial functions vs. total functions

Thanks for your attention!